



## Designation of Beneficiary

Name of Owner: \_\_\_\_\_

Customer Number: \_\_\_\_\_

Request applies to (select one):

- New Investment
- Existing Investment(s): # \_\_\_\_\_ # \_\_\_\_\_ # \_\_\_\_\_ # \_\_\_\_\_ # \_\_\_\_\_
- All existing Investments under customer number listed above

I designate that upon my death, the assets in this investment are to be paid to the beneficiaries named below. If no beneficiaries are named, my estate will be my sole beneficiary.

**Beneficiary(ies)-** *Total percentage designated must equal 100%*

_____ <b>Name</b>			
_____ Address			
_____ City	_____ State	_____ Zip	
_____ Tax ID (SSN/TIN)		_____ Date of Birth	
_____ Relationship		_____ % Designated	

_____ <b>Name</b>			
_____ Address			
_____ City	_____ State	_____ Zip	
_____ Tax ID (SSN/TIN)		_____ Date of Birth	
_____ Relationship		_____ % Designated	

_____ <b>Name</b>			
_____ Address			
_____ City	_____ State	_____ Zip	
_____ Tax ID (SSN/TIN)		_____ Date of Birth	
_____ Relationship		_____ % Designated	

_____ <b>Name</b>			
_____ Address			
_____ City	_____ State	_____ Zip	
_____ Tax ID (SSN/TIN)		_____ Date of Birth	
_____ Relationship		_____ % Designated	

_____ <b>Name</b>			
_____ Address			
_____ City	_____ State	_____ Zip	
_____ Tax ID (SSN/TIN)		_____ Date of Birth	
_____ Relationship		_____ % Designated	

_____ <b>Name</b>			
_____ Address			
_____ City	_____ State	_____ Zip	
_____ Tax ID (SSN/TIN)		_____ Date of Birth	
_____ Relationship		_____ % Designated	

The undersigned reserves the right to amend or revoke this agreement and to change the beneficiary(ies) of said Investment, at any time, and without the consent of any beneficiary(ies) or other person(s).

\_\_\_\_\_  
Name of Owner

\_\_\_\_\_  
Name of Owner

\_\_\_\_\_  
Signature of Owner

\_\_\_\_\_  
Signature of Owner/ Joint

\_\_\_\_\_  
Date

\_\_\_\_\_  
Date

**SPOUSAL CONSENT** (AZ, CA, NM, TX, WA, and WI residents only)

If you are married, reside in a community property state (listed above) and designate someone other than your spouse as your sole primary beneficiary, your spouse must sign below.

I am the spouse of the Investment owner stated above. I give the owner any interest I have in the Investment. Therefore, I agree to my spouse naming a primary beneficiary other than myself. I acknowledge that I have received a fair and reasonable disclosure of my spouse's property and financial obligations. I also acknowledge that I shall have no claim whatsoever against Converge Cornerstone Fund for any payment to my spouse's named beneficiary (ies).

\_\_\_\_\_  
Signature of Investment Owner's Spouse

\_\_\_\_\_  
Date

## Designation of Beneficiary

Completion of the form on the reverse side allows the owner(s) of an Investment issued by Converge Cornerstone Fund to name one or more beneficiaries to whom the Investment will be transferred at the death of the owner(s). The following information is offered to assist you in making a beneficiary designation for your Investment(s).

- This beneficiary designation revokes and supersedes all previous beneficiary designations applied to the listed Investments.
- You, as owner(s), may designate one or more beneficiaries to whom your Investment(s) will be transferred upon your death (or death of the last surviving owner).
- If a beneficiary dies before the owner(s), the deceased beneficiary's share will be allocated among the surviving beneficiaries in the proportion that the surviving beneficiaries' designated portion bears to each other. If only one beneficiary is named and predeceases the owner(s), the property will be transferred to your estate(s) or to the last surviving owners' estate.
- If you and married and reside in a community property state, and designate someone other than your spouse as your sole primary beneficiary, your spouse must sign the Spousal Consent section.
- Beneficiaries may be amended or revoked by you, the owner(s), at any time.
- Naming beneficiaries does not affect your right to interest payments or to redeem the Investment(s) at maturity.
- If you wish to have more than four beneficiaries for any given Investment, please contact a Cornerstone Fund representative for further instructions.
- This form will not be effective until it is signed by all owners of listed Investments.