

# Investment Application

Office Use Only	
Check #	
Customer #	

**Type of Ownership**- Select one type of ownership and complete the appropriate lines.

- Individual (line A)                       Organization\*\* (line A)                       Trust (line A)  
 Joint\* (lines A and B)                       Minor with Custodian (lines A and B)

A. \_\_\_\_\_  
 Owner/Minor Name                      Social Security Number/EIN                      Date of Birth                      Driver's License #/State

B. \_\_\_\_\_  
 Owner/Custodian Name                      Social Security Number/EIN                      Date of Birth                      Driver's License #/State

**Contact Information**

\_\_\_\_\_  
 Street    City    State    Zip

\_\_\_\_\_  
 Telephone Number    Email Address

Check if you are subject to backup withholding.

\* Joint owners are considered as joint tenants with rights of survivorship and not as tenants in common. Interest is reported to the Internal Revenue Service using the social security number of the first named person.

\*\* Corporate investors must include the following back-up documentation:  
 Corporate Resolution  
 Authorized Signature Record

**Investment Amount**

**Investment Options**- *There is a \$100 minimum for investments.*

Demand Certificate: \_\_\_\_\_

Term Certificate:     1-year ..... \_\_\_\_\_  
                                2-year ..... \_\_\_\_\_  
                                3-year ..... \_\_\_\_\_  
                                4-year ..... \_\_\_\_\_  
                                5-year ..... \_\_\_\_\_  
                                Cornerstone Kids (\$250 minimum) ..... \_\_\_\_\_

By including a check, (i) you agree for the check to be used for electronic deposit of the investment amount to your investment and, if selected above, payment of interest from your investment, via automated clearinghouse (ACH), (ii) you authorize Converge Cornerstone Fund to initiate any correcting debit or credit that may be necessary, and (iii) you agree that the origination of ACH transactions to or from your investment must comply with the provisions of U.S. law.

**Interest Payment** - Please select how you want your interest handled.

- I wish for my interest to remain in the certificate (*compound semi-annually*)
- I wish for my interest to be paid by:  
 Check                       Electronic deposit (please include VOID check)

I want the frequency of my interest to be paid out:  
 Monthly                       Quarterly                       Semi-annually                       Annually

## Investor Certification

I hereby acknowledge receipt of the Offering Circular dated April 1, 2021, of Converge Cornerstone Fund. I represent that I am 18 years of age or older. I further represent that I am a member of, contributor to, or participant in Converge, or a beneficiary or successor in interest to such person. I agree that I will not sell my certificate within 12 months after the date of purchase, except in accordance with Pennsylvania law. This restriction shall in no manner affect my ability to redeem my certificate in accordance with its terms.

**Certification** - Under the penalties of perjury, I certify that:

- All information provided is accurate and the number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me).
- I am not subject to backup withholding because (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding.

\_\_\_\_\_  
Signature of Principal Certificate Owner or Trustee

\_\_\_\_\_  
Date

I choose to view my statements electronically at myconverge.org

\_\_\_\_\_  
Signature of Joint Owner or Custodian

\_\_\_\_\_  
Date

**Certification Instructions** - If you have been notified by the IRS that you are currently subject to backup withholding because of underreporting interest or dividends on your tax return, you must cross out item (2) above.

**Note:** Due to the IRS regulations, the Fund cannot issue your certificate until your taxpayer identification number is provided and the above certification signed. Additionally, in accordance with the US Patriot Act, the Converge Cornerstone Fund is required to verify the identity of any person or entity seeking to open an account. When you open an account, we will ask for your name, address, date of birth and other information that will allow us to identify you. We may also ask for a copy of your driver's license or other identifying documents. We will use this information to confirm the identity reported on an investment application with a third party public records database.

## Beneficiary Information

List the person or organization to which you want to transfer the balance of your Certificate upon the death of the last surviving owner.

Beneficiary's Name & Address	Social Security #	Relationship	Share %

## Spousal Consent (AZ, CA, ID, NV, NM, TX, WA, and WI residents only)

If you are married, reside in a community property state (listed above) and designate someone other than your spouse as your sole primary beneficiary, your spouse must sign below.

I am the spouse of the Certificate owner stated above. I give the owner any interest I have in the Certificate. Therefore, I agree to my spouse's naming of a primary beneficiary other than myself. I acknowledge that I have received a fair and reasonable disclosure of my spouse's property and financial obligations. I also acknowledge that I shall have no claim whatsoever against Converge Cornerstone Fund for any payment to my spouse's named beneficiary(ies).

\_\_\_\_\_  
Signature of Certificate Owner's Spouse

\_\_\_\_\_  
Date

\_\_\_\_\_  
Spouse of

## How did you hear about Converge Cornerstone Fund?

Please check as many as may apply:

- I am a current investor   
  Bulletin inserts   
  *Converge Point* magazine   
  Individual referral  
 Website   
  Newline   
  Presentation in my church   
  Other \_\_\_\_\_